State of Arkansas Department of Finance And Administration

DFA - ARKANSAS TOBACCO CONTROL

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Retail Vapor Product and E-liquid Product Only Permit Application

Instructions

- The Retail Vapor Product and E-Liquid Product Only Permit allows businesses to purchase vapor products and e-liquid products from permitted wholesalers to then sell in person and over-the-counter to consumers in the State of Arkansas.
- <u>Fully</u> complete this Application. Incomplete Applications will not be processed. The Application *must* be notarized by a notary public and all required documentation *must* be complete and attached to the Application (<u>refer to checklist</u>; <u>Page 10</u>).
- If Applicant is purchasing an existing business with vapor products and/or e-liquid products that will be transferred to the new business, the new permit holder/store owner **MUST** take a written inventory of all products being transferred. Please use the Inventory Form provided by Arkansas Tobacco Control ("ATC"): https://www.atc.arkansas.gov/forms

Definitions

- Consumer. "Consumer" means "a member of the public at large." Ark. Code Ann. § 26-57-203(9).
- **E-Liquid and E-Liquid Product.** "E-liquid' and 'e-liquid product' means a liquid product, which may or may not contain nicotine, that is inhaled when using a vapor product, and that may or may not include without limitation propylene glycol, vegetable glycerin, nicotine from any source, and flavorings." Ark. Code Ann. § 26-57-203(12).
- **E-Liquid Container.** "E-liquid container' means a bottle or other container of e-liquid that is sold or provided for mixing at retail and is marketed or intended for use in a vapor product, but does not include e-liquid contained in a cartridge that is sold, marketed, or intended for use in a vapor product if the cartridge is prefilled and sealed by the manufacturer and is not intended to be opened by the consumer." *See* Ark. Code Ann. § 26-57-254(d).
- Vapor Product. "Vapor product' means an electronic oral device of any size or shape that contains a vapor of nicotine, e-liquid, or any other substance that when used or inhaled simulates smoking, regardless of whether a visible vapor is produced, including without limitation a device that:
 - (A) Is composed of a heating element, battery, electronic circuit, chemical process, mechanical device, or a combination of heating element, battery, electronic circuit, chemical process, or mechanical device;
 - (B) Works in combination with a cartridge, other container, or liquid delivery device containing nicotine, e-liquid, or any other substance and manufactured for use with vapor products;
 - (C) Is manufactured, distributed, marketed, or sold as any type or derivation of a vapor product, e-cigarette, e-cigar, e-pipe, or any other product name or descriptor; and
 - (D) Does not include a product regulated as a drug or device by the Federal Food, Drug, and Cosmetic Act, 21 U.S.C. § 301 et seq., as it existed on January 1, 2015." Ark. Code Ann. § 26-57-203(34).

NOTE: To obtain a Retail Vapor Product and E-Liquid Product Only Permit, an Applicant must sell only vapor product and e-liquid product. To sell any amount of tobacco or alternative nicotine products, an Applicant must instead apply for the all-inclusive retail permit: Retail Permit (Tobacco Products, Vapor Products, Alternative Nicotine Products, or E-Liquid Products).

General Information 1. Do you, Applicant, understand and agree to complete and attach with the application the Retail Vapor Product and E-Liquid Product Only Permit Application Checklist (provided ☐ YES ☐ NO on Page 10 of this Application), and to fully provide the requested documentation and/or information contained in that checklist? 2. Do you, Applicant, understand and agree to follow, stay current on, and comply with all ☐ YES ☐ NO local, federal and state laws, including, but not limited to Arkansas Code Annotated § 4-75-701, et al., Arkansas Code Annotated § 26-57-201, et. al.? 3. Do you, Applicant, understand that it is illegal in the State of Arkansas to give, barter or sell to anyone under the age of twenty-one (21) tobacco in any form, cigarette paper, vapor ☐ YES ☐ NO product, alternative nicotine product, e-liquid product, or any component of a vapor product, alternative nicotine product, or e-liquid product? 4. Has Applicant or any person who owns or operates the business been denied a permit, license, or other authorization to engage in any business by any government agency (Federal, State, local or foreign) or had such permit, license or other authorization revoked, suspended ☐ YES ☐ NO or otherwise terminated? If Yes, please attach to this application the details of each occurrence. 5. Has Applicant or any person who owns or operates the business ever been convicted of or found in violation of any cigarette, tobacco, alternative nicotine, vapor or e-liquid, or other ☐ YES ☐ NO tobacco product statute, rule, or regulation? If Yes, please attach to this application the details of each occurrence. ☐ YES ☐ NO 6. Are you, Applicant, purchasing an existing ATC permitted retail location? 7. If Yes to Question 6, has Applicant received approval of Arkansas Tobacco Control and the seller by completing the Replacement Notice (available under "Forms" on ATC website)? ☐ YES ☐ NO If Yes, please attach the approved Replacement Notice to this application. If No, please complete and separately submit to ATC the Replacement Notice for

approval. The approved Replacement Notice must be included with this Application.

8. Does Applicant intend to sell <i>only</i> vapor products and/or e-liquid products in person and over the counter at retail to consumers in the State of Arkansas?	☐ YES ☐ NO
9. Does Applicant intend to sell any percentage of tobacco products, including but not limited to cigars, cigarillos, chewing tobacco, hookah tobacco, snuff or cigarettes? If Yes, please instead complete an application for Retail Permit (Tobacco Products, Vapor Products, Alternative Nicotine Products, or E-Liquid Products).	☐ YES ☐ NO
10. Does Applicant verify and agree that it will not operate out of a residential address and that it will be in compliance with all local ordinances, including zoning ordinances?	☐ YES ☐ NO
 11. Does Applicant intend to manufacture, fabricate, assemble, process, mix compound, repackage or resize any vapor products and/or e-liquid products? If Yes to Question 11, you must also secure a Manufacturer Permit. Permits are not issued same day. Please allow four (4) to five (5) business days for your application to be processed. 	☐ YES ☐ NO
 12. If Yes to Question 11, does Applicant attest the manufacturing of vapor products or e-liquid products complies with or will comply with the applicable ingredient listing and submission required by 21 U.S.C. 387d(a)(1) of the Federal Food Drug, and Cosmetic Act (FD&C Act) and related rules (81 FR 28973-01)? If "NO," please submit an explanation as a separate written document. 	☐ YES ☐ NO
13. Does Applicant intend to sell any vapor products or e-liquid products that contain any cannabidiol (CBD) or hemp?	☐ YES ☐ NO
(a) If Yes to Question 13, does Applicant's vapor products and/or e-liquid products (whether Applicant is selling, manufacturing or wholesaling) contain no more than 0.3% THC by weight?	☐ YES ☐ NO
14. Does Applicant verify and agree that all E-Liquid Containers containing nicotine sold in the State of Arkansas will satisfy the child-resistant packaging effectiveness standards described in Arkansas Code Annotated § 26-57-203(4)(A)–(B) when tested in accordance with the methods described by 16. C.F.R. § 1700.20, as it existed on January 1, 2015?	☐ YES ☐ NO
15. Do you, the Applicant, consent to ATC or Arkansas State Police to conduct a state or national criminal background check on any person listed in this Application?	☐ YES ☐ NO

Ark. Code Ann. § 26-57-216(3) prohibits a permit from being issued to any person or business owned or operated by a person who has pleaded guilty or nolo contendere to or been found guilty of a felony.	
 16. Do you, Applicant, understand and agree to provide written notice to the Arkansas Tobacco Control Director when the business changes physical locations? An ATC permit holder must receive ATC approval prior to making any sales at a new location. 	☐ YES ☐ NO
17. Do you, Applicant, understand and agree to provide written notice to the ATC Director within thirty (30) days of a change in (i) the managing partner, limited liability company managing member, or president or chief executive officer of a corporation, partnership, or limited liability company; or (ii) the stockholders effecting twenty-five percent (25%) or more of the total voting shares of a nonpublicly traded corporation?	☐ YES ☐ NO
18. Do you, Applicant, understand and agree to maintain a copy of the signed server awareness forms for each employee who engages in the sale of vapor products or e-liquid products, which you must provide immediately upon demand by ATC and its authorized Agents?	☐ YES ☐ NO
19. Do you, Applicant, understand and agree to display in a conspicuous place a sign indicating that the sale of tobacco products, vapor products, alternative nicotine products, e-liquid products, or any component of a vapor products, alternative nicotine products, or e-liquid products to or purchase to or purchase or possession of tobacco products by a minor is prohibited by law?	☐ YES ☐ NO
 20. Do you, Applicant, understand and agree to complete a transfer form (available on the ATC website) as soon as any transfer of vapor or e-liquid product from one location to another location within your business (if multiple locations or chain) occurs, and to provide said transfer form immediately by you upon demand by ATC and its authorized Agents? A retailer permit holder cannot sell vapor or e-liquid products to another retailer without the proper 	☐ YES ☐ NO
21. Do you, Applicant, understand and agree to (i) maintain a copy of any complete transfer forms showing the vapor and/or e-liquid products that were transferred; (ii) the permitted location from which the vapor and/or e-liquid products were transferred; and (iii) when the transfer occurred?	☐ YES ☐ NO
	☐ YES ☐ NO

22. Do you, Applicant, understand and agree to maintain copies of at least the last ninety (90) days of vapor products or e-liquid product, which you must provide immediately upon demand by ATC and its authorized Agents?	
23. Do you, Applicant, understand and agree to retain and provide invoices for all vapor products and e-liquid products located inside the retail store location?	☐ YES ☐ NO
24. Do you, Applicant understand and agree to make any invoices that are older than ninety (90) days available upon demand by ATC and its authorized Agents at any time during normal business hours in the retail store location?	☐ YES ☐ NO
25. Does Applicant owe any sales taxes due to the State of Arkansas?	☐ YES ☐ NO
If Yes to Question 25, Applicant must satisfy the debt with the appropriate state agency before ATC can approve your permit. Please attach a copy of sales and use tax certificate to this Application.	

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Business Information Permit Fee type: \$50.00 Retail Vapor Product and E-liquid Product Only Permit; NO CASH PAYMENTS Legal Business/Company Name: Business Designation: ☐ Sole Proprietorship (Single Owner) ☐ LLC ☐ INC ☐ Partnership ☐ Corporation ☐ Other If Corporation: Publicly traded? ☐ Yes ☐ No C Corp. ☐ S Corp. ☐ Other Type _____ Name of Business if different from Legal Name: __ (Any fictitious name or 'Doing Business As' (DBA) name must be filed with the Secretary of State's Office) Sales Tax Number: ______ FEIN: (if applicable) _____ _____ Suite/Unit #: _____ Physical Business/911 Address: (Must be numeric address plus street name (example: 152 Smith St); CANNOT be a P.O. Box or location description) City: _____ County: _____ Zip Code: _____ Business Mailing Address (if different): (This is the address where you want to receive any and all communication from ATC; CAN include P.O. Box) City: _____ County: _____ Zip Code: _____ Store Phone Number: (_____) ____ - ____ Store Fax Number: (_____) ___ - ____ Store Email: Previous ATC Permit (if applicable): Note: Arkansas Tobacco Control primarily uses e-mail to communicate with permit holders. Please provide the most up-todate email for your business that is review frequently. Are products from a previous business being transferred to you? \Box Yes \Box No If yes, <u>MUST</u> attach an Inventory. Type of Business (Check all that apply): □Convenience Store w/gas □Convenience w/o gas □ Vapor Store □Supermarket □Gasoline Kiosk □Wholesale □Manufacturer □Warehouse with retail front □Other _____ Facility information: \Box I own the property \Box I rent this property \Box other _____ If rent/lease, Landlord name: Phone Number:

Primary Owner/President/CEO Information Owner/President/CEO Demographic Information: Information must be for the legal head of the company

Owner/President/CEO Name: ______ Date of Birth: ____/___/___

Home Address: _____ Suite/Unit #: _____

(Must be a numeric address plus street name; CANNOT be a P.O. Box or location description)

 City:

 Zip Code:

 E-mail Address:

 Phone Number: (______)

GGN.	
SSN:	Percentage of Ownership in business:% contest, or been convicted of a Felony? \square YES \square NO
Secondary Owner/Pa	artner/Member/Stockholder/Family Member Information
· · · · · · · · · · · · · · · · · · ·	Use these sections to sidered owners (Example: Wife/Husband, Brother/Sister, Son/Daughter, etc.) OR iness partners, LLC members, company officers, stockholders, etc.
Please note it is also reco	ommended that the person(s) are also listed on your sales and use tax permit. USE ADDITIONAL PAGES AS NECESSARY
Business Primary Contact Information Name:	
	Suite/Unit #: ic address plus street name; CANNOT be a P.O. Box or location description)
	County: Zip Code:
E-mail Address:	Phone Number: ()
Driver's License/ID Number:	State of Issuance: Alien Registration No.:
SSN:	Percentage of Ownership in business:%
Have you ever pled guilty, pled no co	ontest, or been convicted of a Felony? YES NO
Officer/Partner Information:	Data of Director
	Date of Birth://
Home Address: (Must be a numeri	Suite/Unit #: ic address plus street name; CANNOT be a P.O. Box or location description)
City:	County: Zip Code:
E-mail Address:	Phone Number: ()
Driver's License/ID Number:	State of Issuance: Alien Registration No.:
	Percentage of Ownership in business:%
Have you ever pled guilty, pled no co	ontest, or been convicted of a Felony? YES NO
Officer/Partner Information: Name:	Date of Birth: /
Home Address:	Suite/Unit #:
(Must be a numeri	ic address plus street name; CANNOT be a P.O. Box or location description)
	County: Zip Code:
E-mail Address:	Phone Number: () -

Driver's License/ID Number: _____ State of Issuance: ____ Alien Registration No.: ____

Have you ever pled guilty, pled no contest, or been convicted of a Felony? \square YES \square NO

Percentage of Ownership in business: _______%

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Officer/Partner Information: Name:	Date of Birth: /			
	Address: Suite/Unit #: (Must be a numeric address plus street name; CANNOT be a P.O. Box or location description)			
City:	County: Zip Code:			
E-mail Address:	Phone Number: ()			
Driver's License/ID Number:	State of Issuance: Alien Registration No.:			
SSN:	Percentage of Ownership in business:	%		
Have you ever pled guilty, pled no contes	t, or been convicted of a Felony? □YES □NO			
Officer/Partner Information:				
Name:	Date of Birth:/			
Home Address:	Suite/Unit #:			
·	dress plus street name; CANNOT be a P.O. Box or location description)			
	County: Zip Code:			
	Phone Number: ()			
	State of Issuance: Alien Registration No.:			
	Percentage of Ownership in business:	%		
	t, or been convicted of a Felony? □YES □NO			
Officer/Partner Information:	Date of Birth:/			
	Suite/Unit #:			
(Must be a numeric add	lress plus street name; CANNOT be a P.O. Box or location description)			
City:	County: Zip Code:			
E-mail Address:	Phone Number: ()			
Driver's License/ID Number:	State of Issuance: Alien Registration No.:			
SSN:	Percentage of Ownership in business:	%		
Have you ever pled guilty, pled no contes	t, or been convicted of a Felony? \square YES \square NO			
Officer/Partner Information:				
Name:	Date of Birth:/			
Home Address:(Must be a numeric add	Suite/Unit #: lress plus street name; CANNOT be a P.O. Box or location description)			
	County: Zip Code:			
	Phone Number: ()			
	State of Issuance: Alien Registration No.:			
SSN:				

Have you ever pled guilty, pled no contest, or been convicted of a Felony? ☐YES ☐

Important Information

Please note that this application <u>MUST</u> be completed in its entirety. Any application that is submitted that is incomplete will <u>not</u> be accepted and will be returned to the applicant. Please review the application and the accompanying checklist to ensure that you have provided all required information and documentation for application submission.

By accepting a permit from Arkansas Tobacco Control, the permit holder is agreeing that they, and their business, will follow all lawful requirements and furthermore acknowledging that they understand that Arkansas Tobacco Control Agents, Federal Tobacco Control Agents, or any other lawful Tobacco Control Entity will periodically conduct inspections and compliance checks to ensure compliance with the law.

FREE Retail Training is available through our office. Please contact us if you wish to send your staff to a free training event or arrange a free training event for your location.

Legal Responsibility - Application Signature Page

By dating and signing below, I certify and attest that I am an owner or duly authorized agent, partner, officer, or Applicant and hereby declare and sign under penalty of law that the information provided on this application (all pages 2 through 9, including the Application Checklist) is true and correct to the best of my knowledge and belief, and that the Applicant is a Wholesaler in fact. The undersigned applicant also agrees to faithfully comply with all local, state, and federal laws including, but not limited to, the "Unfair Cigarette Sales Act," A.C.A. § 5-27-227 (controlling the provision of minors with tobacco products and cigarettes and the placement of tobacco vending machines), the "Arkansas Tobacco Products Tax Act of 1977," all rules promulgated pursuant thereto, and all lawful orders of the Arkansas Tobacco Control Board. Applicant understands and agrees that any intentional false or misleading information, of any nature or relative to any item, will be sufficient grounds for denial or subsequent revocation of the permit for which he/she is applying.

Date:			
	Signature of Owner or Authorized Repres	sentative – (Please s	sign in notary's presence)
	Printed Name of Owner or Authorized Ro	epresentative	
	Business Title of Owner or Authorized R	epresentative	
Notary Information:			
Subscribed and sworn to b	pefore me, a Notary Public, by		, to me well known or
identified to me by govern	nment issued photo identification, on this	day of	, 20
My Commission Expires:	Name:		
Please place Notary			
ATC-R8 (5-2022)			

Retail Vapor Product and E-liquid Product Only Permit Application Checklist

(This document **MUST** be submitted with application)

Product and E-Liquid Product Only Permit for submission to Arkansas Tobacco Control.
Payment. A Check or Money Order must be sent with the Application. Please ensure both the Check or Money Order is completely and accurately filled out. NO CASH PAYMENTS.
COLOR copies of DL or ID. Attach to Application color copies of Drivers' License or ID for <i>all</i> listed owners, members and partners. Work Permit, Visas and Alien ID cards are also acceptable. If dropping off application in person, copies can be made in the Tobacco Control office.
Copy of Sales and Use Tax Certificate. Applicant must apply for and receive an Arkansas sales-and-use tax permit from the Arkansas Department of Finance and Administration (DFA): https://www.dfa.arkansas.gov/excise-tax/ (Sales and Use Tax). Attach to Application a copy of sales and use tax certificate(s). Name, address, and business designation should match the accompanying paperwork.
Secretary of State's Office filings. Attach to Application copies of Secretary of State's Office filings: All proof of registration documents (if Corporation, LLC or Partnership), fictitious/DBA name filings, and copies of Articles of Incorporation, Operating Agreements, or Partnership Agreements.
Proof of ownership/right to occupy permitted property. Attach to Application copies of any deed, lease, rental agreement, bill of sale, mortgage, purchasing agreement or other documentation showing a right to occupy the permitted property.
<u>Itemized inventory</u> which lists brand, type, flavor, package type(s), and quantity of each product from the previous owner, if applicable.

NOTICE: ALL ARKANSAS TOBACCO CONTROL PERMITS EXPIRE JUNE 30TH AFTER DATE OF ISSUE AND MUST BE RENEWED EACH YEAR